



**Grant Number:** 5U50CI123668-04

**Principal Investigator(s):**  
ALFRED DEMARIA

**Project Title:** EPIDEMIOLOGY AND LABORATORY CAPACITY FOR INFECTIOUS DISEASES

250 WASHINGTON STREET

BOSTON, MA 02130

**Award e-mailed to:** Carol.Weisberg@state.ma.us

**Budget Period:** 01/01/2008 – 12/31/2008

**Project Period:** 04/01/2004 – 12/31/2009

Dear Business Official:

The Centers for Disease Control hereby awards a grant in the amount of \$928,715 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to MA ST DEPT OF PUBLIC HEALTH in support of the above referenced project. This award is pursuant to the authority of 42 USC 241 42 CFR 52 and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

Award recipients are strongly encouraged to submit to PubMed Central (PMC), upon acceptance for publication, an electronic version of peer-reviewed, original research publications, resulting from research supported in whole or in part, with direct costs from Centers for Disease Control. The author's final manuscript is defined as the final version accepted for journal publication, and includes all modifications from the publishing peer review process. For additional information, please visit <http://publicaccess.nih.gov/>.

If you have any questions about this award, please contact the individual(s) referenced in Section IV.

Sincerely yours,

Sharron Orum  
Grants Management Officer  
NATIONAL CENTER FOR INFECTIOUS DISEASES (NCID)

Additional information follows

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**SECTION I – AWARD DATA – 5U50CI123668-04****Award Calculation (U.S. Dollars)**

Salaries and Wages	\$551,999
Fringe Benefits	\$219,797
<b>Personnel Costs (Subtotal)</b>	<b>\$771,796</b>
Supplies	\$53,451
Travel Costs	\$8,725
Other Costs	\$8,890
<b>Consortium/Contractual Cost</b>	<b>\$41,293</b>

<b>Federal Direct Costs</b>	<b>\$884,155</b>
<b>Federal F&amp;A Costs</b>	<b>\$77,279</b>
<b>Approved Budget</b>	<b>\$961,434</b>
<b>Federal Share</b>	<b>\$961,434</b>
<b>Less Unobligated Balance</b>	<b>\$32,719</b>
<b>TOTAL FEDERAL AWARD AMOUNT</b>	<b>\$928,715</b>

<b>AMOUNT OF THIS ACTION (FEDERAL SHARE)</b>	<b>\$928,715</b>
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Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

05      \$961,434

**Fiscal Information:**

CFDA Number: 93.283  
EIN: 1046002284B7  
Document Number: OCCU123668

IC	CAN	2008	2009
HK	9210156	\$164,584	
CI	9211109	\$329,959	
CK	9211180	\$165,217	
CK	9211388	\$110,000	\$961,434
CK	921D014	\$35,000	
IP	921Z7XH	\$123,955	

SUMMARY TOTALS FOR ALL YEARS		
YR	THIS AWARD	CUMULATIVE TOTALS
4	\$928,715	\$928,715
5	\$961,434	\$961,434

Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project

**CDC Administrative Data:**

PCC: N / OC: 4151

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**SECTION II – PAYMENT/HOTLINE INFORMATION – 5U50CI123668-04**

For payment information see Payment Information section in Additional Terms and Conditions.

**INSPECTOR GENERAL:** The HHS Office Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to hhstips@oig.hhs.gov or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous. This note replaces the Inspector General contact information cited in previous notice of award.

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### SECTION III – TERMS AND CONDITIONS – 5U50CI123668-04

This award is based on the application submitted to, and as approved by, CDC on the above-titled project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 74 or 45 CFR Part 92 as applicable.
- d. The HS Grants Policy Statement, including addenda in effect as of the beginning date of the budget period.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

This award is funded by the following list of institutes. Any papers published under the auspices of this award must cite the funding support of all institutes.

National Center For Zoonotic, Vector-borne, And Enteric Diseases (CK)

National Center For Infectious Diseases (ncid) (CID)

Office Of National Center For Public Health Informatics (NCPHI)

National Center For Immunization And Respiratory Diseases (IP)

#### Treatment of Program Income:

Additional Costs

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### SECTION IV – CI Special Terms and Conditions – 5U50CI123668-04

**INCORPORATION:** Program Announcement Number 04040 entitled "Epidemiology and Laboratory Capacity for Infectious Diseases" and the application dated September 13, 2007, are made a part of this award by reference.

**INDIRECT COST:** Awarded indirect costs are based on the rate agreement dated October 20, 2004.

Type	Rate	From	To	Location	Applicable
Prov	14.0% MTDC	07/01/04	06/30/07	Central Off.	All Programs
Prov.		07/01/07	Until Amended		

Base: Direct salaries and wages including vacation, holiday, sick pay and other paid absences but excluding all other fringe benefits.

**UNOBLIGATED BALANCE:** The amount of \$32,719 unobligated funds from budget period Year 02 is carried forward for use in this budget period.

**HUMAN SUBJECTS:** Without prior approval by the Office for Human Research Protections (OHRP) of an assurance to comply with the requirements of 45 CFR 46 to protect human research subjects, Federal funds administered by the Department of Health and Human Services shall not be expended for research involving human subjects, and individuals shall not be enrolled in such research. This restrictions applies to all collaborating sites without OHRP-approved assurances, whether domestic or foreign, and compliance must be ensured by the awardee.

**REPORTING REQUIREMENTS:** An interim progress report is due ninety (90) days before the beginning of the next budget period. This report will serve as your non-competing continuation application and must be submitted to the CDC grants management office via [www.Grants.gov](http://www.Grants.gov). Follow the instructions provided on [www.Grants.gov](http://www.Grants.gov) for preparing and submitting your interim progress report. If you encounter any difficulties submitting your application through [www.Grants.gov](http://www.Grants.gov), please contact CDC's Technical Information Management Section (TIMs) at (770) 488-2700 prior to the submission deadline. The due date is September 15, 2008.

An Annual Financial Status Report (FSR) (SF269 -Long Form) for the budget period is required to be submitted to the Grants Management Specialist ninety (90) days after the end of the budget

period. The due date is March 31, 2009. The original and two copies of all reports and official correspondence **MUST BE IDENTIFIED WITH THE AWARD NUMBER**. (Note: The FSR is prepared on a budget year and **NOT** on a cumulative basis. Form 269 (FSR) can be downloaded from the following website: [www.whitehouse.gov/omb/grants/grants\\_forms.html](http://www.whitehouse.gov/omb/grants/grants_forms.html).

All reports (original and two copies) should be submitted to the CDC Grants Management Branch, Attn: Yolanda Ingram-Sledge, Grants Management Specialist, Centers for Disease Control & Prevention, Procurement and Grants Office, 2920 Brandywine Road, Room 3622, Atlanta, Georgia 30341.

**Audit Requirement:** You must comply with the audit requirements of OMB Circular A-133, "Audits of State and Local Governments" revised June 27, 2003. Please send a courtesy copy of completed audits and any management letters on a voluntary basis to the following:  
Centers for Disease Control and Prevention (CDC)  
Attention: Head, Acquisition Assistance Oversight and Evaluation  
29200 Brandywine Road, NE  
Atlanta, Georgia 30341

You are required to ensure that subrecipients receiving CDC funds also meet the requirements of OMB A-133 (total Federal grant or cooperative agreement funds received exceed \$500,000). Additionally, you must also ensure that appropriate corrective action is taken within six months after receipt of the subrecipient audit report in instances of non-compliance with Federal laws and regulations. You are to consider whether subrecipient audits necessitate adjustment of your own records. If a subrecipient is not required to have an OMB A-133 audit, you are still required by OMB A-133 to perform adequate monitoring of subrecipient activities. You should require each subrecipient to permit independent auditors to have access to the subrecipient's records and financial statements. **YOU SHOULD INCLUDE THESE REQUIREMENTS IN SUBRECIPIENT CONTRACTS.**

For technical assistance with audits, your or your auditor may call the HHS Office of Audit Services at (880) 732-0679 ext. 108, or (816) 374-6714, ext. 108.

All reports must be submitted within the specified time frame and location. Delinquent reporting may impact future funding.

**CORRESPONDENCE/REPORTS:** All correspondence regarding this award must be identified with the award number.

**PRIOR APPROVAL:** In accordance with the Code of Federal Regulations, Part 92 "ALL" requests which require prior approval must bear the signature of an authorized official of the business office of the grantee organization as well as the principal investigator or program or project director." Any requests received which reflects only one signature will be returned to the grantee unprocessed. Additionally, any requests involving funding issues must include a new proposed budget and narrative justification of the requested changes.

**INVENTIONS:** Acceptance of grant funds obligates recipients to comply with the "standard patent rights" clauses in 37 CFR 401.14.

**PUBLICATIONS:** Publications, journal articles, etc., produced under a CDC grant/cooperative agreement project must bear an acknowledgment and disclaimer, as appropriate, such as: This publication (journal article, etc.) was supported by Grant/Cooperative Agreement Number \_\_\_\_\_ from the Centers for Disease Control and Prevention (CDC). Its contents are solely the responsibility of the authors and do not necessarily represent the official views of CDC.

**EQUIPMENT AND PRODUCTS:** To the greatest extent practicable, all equipment and products purchased with CDC funds should be American-made.

**ACKNOWLEDGING FEDERAL SUPPORT:** When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all awardees receiving Federal funds, including and not limited to State and local governments and recipients of Federal research grants, shall clearly state (1) the percentage of the total costs of the program or project which will be financed with Federal money, (2) the dollar amount of Federal funds for the project or program, and (3) percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources.

**INSPECTOR GENERAL:** For your information, United States Department of Health and Human Services' Inspector General maintains a toll-free telephone number, (800) 368-5779, for receiving information concerning fraud, waste or abuse under grants and cooperative agreements. Such reports are kept confidential, and callers may decline to give their names if they choose to remain anonymous.

**HIPPA:** Pursuant to the Standards for Privacy of Individually Identifiable Health Information promulgated under the Health Insurance Portability and Accountability Act (HIPPA)(45CFR Parts 160 and 164) covered entities may disclose protected health information to public health authorities...authorized by law to collect or receive such information for the purpose of preventing or controlling disease, injury, or disability, including, but not limited to the reporting of disease, injury, vital events such as birth or death, and the conduct of public health surveillance, public health investigations, and public health intervention...The definition of public health authority includes...a person or entity acting under a grant of authority from or contract with such public agency... Through this agreement, the [Insert recipient name] is acting under a grant authority from CDC [or: ATSDR] to carry out [Insert: Name of project/activity] which is authorized by [Insert: statutory authority from Public Health Service Act, Comprehensive Environmental Response, Compensation, and Liability Act, OR other legislation (*this information should be provided by the awarding program*)]. The CDC [or insert: ATSDR] grants this authority to [insert: partner name] for purposes of this project. Further, CDC [OR insert: ATSDR] considers this to be [Insert:type of public health activity, i.e. disease/injury reporting, vital events, surveillance, investigations, intervention, registry] for which disclosure of protected health information by covered entities is authorized be section 164.512(b) of the Privacy Rule (45 CFR 164.512(b)).

#### **PAYMENT INFORMATION:**

##### **Automatic Drawdown:**

Payments under this award will be made available through the Department of Health and Human Services (HHS) Payment Management System (PMS). PMS is administered by the Division of Payment Management, Program Support Center, HHS. PMS will forward the DHHS Manual for Recipients Financed Under the Payment Management System (PMS), PMS-270 and PMS-272 forms.

A. PMS correspondence, mailed through the U.S. Postal Service, should be addressed as follows: Division of Payment Management, FMS/PSC/HHS, P.O. Box 6021 Rockville, MD 20852.

B. If a carrier other than the U.S. Postal Service is used, such as United Parcel Service, Federal Express, or other commercial service, the correspondence should be addressed as follows: Division of Payment Management, FMS/PSC/HHS, Rockwell Building #1, Suite 700, 11400 Rockville Pike, Rockville, MD 20852.

To expedite your first payment from this award, attach a copy of the Notice of Grant/ Cooperative Agreement to your payment request form.

#### **CDC CONTACT NAMES:**

##### **Business and Grants Policy Contact**

Yolanda Ingram-Sledge, Grants Management Specialist  
PGO/GMB, CDC  
2920 Brandywine Road, Room 3000  
Atlanta, Georgia 30341-4146  
Telephone: (770) 488-2787  
Internet Address: yis0@cdc.gov

##### **Programmatic Contact**

Deborah Deppe  
Centers for Disease Control and Prevention (CDC)  
National Center for Infectious Diseases (NCID)  
1600 Clifton Road, NE, Mailstop C-12  
Atlanta, Georgia 30333  
Telephone: (404) 639-4668  
E-mail Address: dad1@cdc.gov

##### **Programmatic Contact**

Sandra Browning  
Centers for Disease Control and Prevention (CDC)  
National Center for Infectious Diseases (NCID)  
1600 Clifton Road, NE, Mailstop C-12  
Atlanta, Georgia 30333  
Telephone: (404) 639-3635  
E-mail Address: swb0@cdc.gov

#### **STAFF CONTACTS**

**Grants Management Specialist:** Yolanda Ingramsledge  
Centers for Disease Control and Prevention  
OD/OCOO/PGO/AABB  
1600 Clifton Road; MailStop: K-14  
Atlanta, GA 30333  
Email: ysledge@cdc.gov Phone: 770-488-2787 Fax: 770-488-2777

**Program Official:** Greg J. Jones  
Centers for Disease Control and Prevention  
CCID / NCPDCID/DEISS  
Roybal Building 1, Room 6030  
1600 Clifton Road, Mailstop: C-12  
Atlanta, GA 30333  
Email: gjj1@cdc.gov Phone: 404.639.4180 Fax: 404.639.3106

**Grants Management Officer:** Sharron Orum  
Centers for Disease Control and Prevention  
Procurement and Grants Office  
1600 Clifton Rd., Mail Stop E13  
Atlanta, GA 30333  
Email: spo2@cdc.gov Phone: 770-488-2716 Fax: 770-488-2777

#### **SPREADSHEET SUMMARY**

**GRANT NUMBER:** 5U50CI123668-04

#### **INSTITUTION: MASSACHUSETTS STATE DEPT OF PUB HEALTH**

<b>Budget</b>	<b>Year 4</b>	<b>Year 5</b>
Salaries and Wages	\$551,999	
Fringe Benefits	\$219,797	
Personnel Costs (Subtotal)	\$771,796	
Supplies	\$53,451	
Travel Costs	\$8,725	
Other Costs	\$8,890	
Consortium/Contractual Cost	\$41,293	
<b>TOTAL FEDERAL DC</b>	<b>\$884,155</b>	<b>\$884,155</b>
<b>TOTAL FEDERAL F&amp;A</b>	<b>\$77,279</b>	<b>\$77,279</b>
<b>TOTAL COST</b>	<b>\$928,715</b>	<b>\$961,434</b>